



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group No: N/A  
H. Kubo et al : Examiner: N/A  
Serial No: 09/961,020 :  
Filed: 09/21/2001 : IBM Corporation  
For: APPARATUS & METHOD FOR : Intellectual Property Law  
RECORDING AND REPRODUCING : 1701 North St. /Dept. N50  
DIGITAL DATA : Endicott, NY 13760  
: Docket: JP920000259US1

RESPONSE TO "NOTICE TO FILE MISSING PARTS OF APPLICATION"

Assistant Commissioner for Patents

Washington, DC 20231

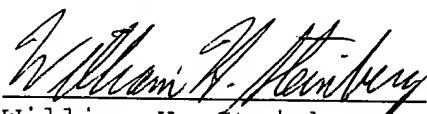
Dear Sir:

In response to the "Notice To File Missing Parts of Application" received in the present patent application and dated 10/25/2001, enclosed please find a fully executed declaration. The declaration is being submitted on 12/13/2001 which is within two months from the date of the notice.

Please charge the surcharge fee, under 37 CFR 1.16(e), of \$130.00 to our deposit account number 09/0457. A duplicate copy of this letter is enclosed.

Respectfully,

Date: 12/13/01  
WHS/bjf  
Telephone: (607) 755-3203

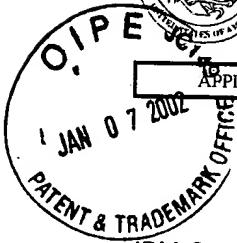
By:   
William H. Steinberg  
Reg. No: 28,540

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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/961,020	09/21/2001	Hiroaki Kubo	JP920000259US1

CONFIRMATION NO. 5130

## FORMALITIES LETTER



\*OC000000006974264\*

IBM Corporation  
 Dept. N50/Bldg. 40-4  
 1701 North Street  
 Endicott, NY 13760

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/11/2002 NM0HAMM1 00000020 090457 09961020

01 FC:105 130.00 CH

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*Scetor*

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): H. Kubo et al

Docket No.

JP920000259US1

Serial No.  
09/961,029

Filing Date  
09/21/2001

Examiner  
N/A

Group Art Unit  
N/A

Invention: APPARATUS AND METHOD FOR RECORDING AND REPRODUCING DIGITAL DATA



I hereby certify that this Notice to File Missing Parts, Response to Notice, Declaration and Post Card  
*(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on 12/13/2001  
*(Date)*

**GEORGIA Y. BRUNDEGE**

*(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)*

Note: Each paper must have its own certificate of mailing.

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